

<u>PATENT</u>

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-1-

In re Patent Application of

Steven C. Quay

Application No. 08/896,821

Filed: July 18, 1997

For: METHOD OF ULTRASOUND

IMAGING

Assistant Commissioner for Patents Washington, D.C. 20231 Group Art Unit: 1616

Examiner: G. HOLLINDEN

INFORMATION DISCLOSURE STATEMENT

2001 Ferry Building San Francisco, CA 94111 (415) 433-4150

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington DC 20231

on Warch 22 1999

11ed: 3-22-99 By Bam Pascual

Sir:

Applicant submits herewith patents, publications or other information [attached hereto and listed on the attached Form PTO-1449 (modified)] of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

- (a) [] accompanies the new patent application submitted herewith. 37 CFR § 1.97(a).
- (b) [] is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR § 1.491.
- (c) [] as far as is known to the undersigned, is filed before the mailing date of a first Office Action on the merits.
- (d) [X] is filed after the first office action and more than three months after the

application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by either the fee (\$240) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below.

(e) [] is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and the Issue Fee has not been paid, and is accompanied by the fee (\$130) set forth in 37 CFR § 1.17(i)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

[If either of boxes (d) or (e) are checked above, the following "certification" under 37 CFR § 1.97(e) may need to be completed.] The undersigned certifies that:

- (f) [] Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- (g) [] No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

A list of the patent(s) or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of the items on PTO-1449 (Modified) is supplied herewith:

(h) [] each (i) [] none (j) [X] only those not
marked with an asterisk:

Those patents and publications which are marked with an asterisk (*) in the attached PTO Form 1449 are not supplied because they were previously cited by or submitted to the Office in a prior application No. 08/770,522, filed December 20, 1996 which is a continuation application of application No. 08/649,910, filed May 16, 1996 which is a continuation application of 08/380,085 filed January 30, 1995, now U.S. Patent No. 5,558,854 which is a divisional application of 07/936,011 filed September 2, 1992 which is a continuation-in-part application of 07/893,657 filed June 5, 1992, now U.S. Patent No. 5,409,688 which is a continuation-in-part application of 07/761,311 filed September 17, 1991, and relied upon in this application for an earlier filing date under 35 U.S.C. 120.

A concise explanation of relevance of the items listed on form PTO-1449 (Modified) is:

- (k) [X] not given
- (1) [] given for each listed item
- (n) [] is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references [copy attached].

The Examiner is reminded that a "concise explanation of the relevance" of the submitted items "may be nothing more than identification of the

particular figure or paragraph of the patent or publication which has some relation to the claimed invention," MPEP § 609.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

[X] The Commissioner is hereby authorized to charge our Deposit Account No. 12-1420 for any fees required in connection with the filing of this Information Disclosure Statement. A duplicate copy of this Notice is enclosed for this purpose. In particular, in the event that an Office Action has crossed in the mail with this Information Disclosure Statement, the Commissioner is authorized to charge the above-

named deposit account for any fees required pursuant to CFR §§ 1.17(p) or 1.17(i)(1).

Respectfully submitted, LIMBACH & LIMBACH L.L.P.

Dated: 3-22-99

Cameron A. King

Reg. No. 41,897 U Tel. No. 415/433-4150

Our File: SNUS-125

FORM PTO-1449 (Modified) U.S. Dept. of Commerce Atty Docket No. Serial No. (Rev. 7-80) Patent and Trademark Office SNUS-00125 08/896821 Applicant(s) FORMATION DISCLOSURE CITATION Quay, Steven C. Filing Date Group MAR 2 5 1999 07/18/97 1616 U.S. PATENT DOCUMENTS Examine & TRADE Sub-Initials Document Number Date Name Class Class Filing Date AA 3,615,972 10/26/71 MOREHOUSE, ET AL 156 79 04/28/67 AB 3,650,831 03/21/72 JUNGERMANN, ET AL 134 27 03/10/69 AC 3,968,203 07/06/76 SPITZER, ET AL 42 47 08/26/69 ΑD 4,027,007 05/31/77 MESSINA 03/13/73 ΑE 4,725,442 02/16/88 HAYNES 02/16/88 ΑF 5,350,571 09/27/94 KAUFMAN, ET AL 02/24/92 *AG 5,393,524 02/28/95 QUAY 06/04/93 *AH 5,406,950 04/18/95 BRANDENBURGER, ET AL 128 662.02 12/23/93 ΑI 5,409,688 04/25/95 06/05/92 *AJ SCHNEIDER, ET AL 5,413,774 05/09/95 12/16/92 *AK 5,425,366 06/20/95 REINHARDT, ET AL 06/07/93 *AL 5,445,813 08/29/95 SCHNEIDER, ET AL 10/12/93 *AM 5,487,390 02/13/96 COHEN, ET AL 09/01/94 *AN 5,508,021 04/16/96 GRINSTAFF, ET AL 10/19/94 *****AO 5,512,268 04/30/96 GRINSTAFF, ET AL 06/06/95 *AP 5,529,766 06/25/96 KLAVENESS, ET AL 03/28/92 *AO 5,531,980 07/02/96 SCHNEIDER, ET AL 424 9.52 09/30/94 LOHRMANN, ET AL *AR 5,536,489 07/16/96 05/12/95 *AS 5,547,656 08/20/96 UNGER 05/24/95 *AT 5,552,133 09/03/96 LAMBERT, ET AL 08/12/94 *AU 5,556,610 09/17/96 YAN, ET AL 11/30/94 ΑV 5,558,094 09/24/96 QUAY 02/01/95 AW 5,558,853 09/24/96 QUAY 01/19/94 AX 5,558,854 09/24/96 QUAY 01/30/95 ΑY 5,558,855 09/24/96 QUAY 01/25/93 *AZ 5,558,856 09/24/96 KLAVENESS, ET AL 01/09/92 *BA 5,558,857 09/24/96 KLAVENESS, ET AL 06/03/91 *BB 5,560,364 10/01/96 **PORTER** 128 662.02 05/12/95 *BC 5,562,893 10/08/96 LOHRMANN 08/02/94

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FORM PTO-1449 (Modified) U.S. Dept. of Commerce Atty Docket No. Serial No. (Rev. 7-80) Patent and Trademark Office SNUS-00125 08/896821 Applicant(s) INFORMATION DISCLOSURE CITATION Quay, Steven C. Filing Date Group 07/18/97 1616 U.S. PATENT DOCUMENTS Examiner Sub-Initials Document Number Date Name Class Class Filing Date *BD 5,567,413 10/22/96 KLAVENESS, ET AL 06/06/95 *BE 5,567,414 10/22/96 SCHNEIDER, ET AL 06/01/95 *BF 5,567,415 10/22/96 **PORTER** 06/01/94 *BG 5,571,497 11/05/96 UNGER 06/06/95 *BH 5,578,291 11/26/96 PORTER 09/06/94 *BI 5,578,292 11/26/96 SCHNEIDER, ET AL 01/30/95 *BJ 5,597,549 01/28/97 SCHNEIDER, ET AL 03/31/95 *BK 5,599,523 02/04/97 BELLER, ET AL 11/22/94 *BL 5,605,673 02/25/97 SCHUTT, ET AL 08/01/94 *BM 5,606,973 03/04/97 LAMBERT, ET AL 06/07/95 *BN 5,611,344 03/18/97 BERNSTEIN, ET AL 03/05/96 *B0 5,614,169 03/25/97 KLAVENESS, ET AL 06/02/95 *BP 5,618,514 04/08/97 SCHRODER, ET AL 12/22/94 *BQ 5,626,833 05/06/97 SCHUTT, ET AL 06/07/95 *BR 5,637,289 06/10/97 KLAVENESS, ET AL 01/08/93 *BS 5,639,443 06/17/97 SCHUTT, ET AL 03/16/95 5,643,553 *BT 07/01/97 SCHNEIDER, ET AL 09/26/95 *BU 5,658,551 08/19/97 SCHNEIDER, ET AL 06/01/95

EVANTUES	
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Applicant(s) Quay, Steven C.				
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